



RLI SURETY

ERISA BONDS: A BOND DESIGNED TO PROTECT YOUR VALUABLE EMPLOYEE BENEFIT PLANS

WHAT IS ERISA?

The Employee Retirement Income Security Act (ERISA) was enacted to protect employee benefit plans from the risk of loss due to acts of fraud or dishonesty by the plan officials.

ERISA requires every fiduciary of an employee benefit plan and every person who handles funds or other property of the plan to be bonded. The ERISA bond amount must be at least 10 percent of the plan assets.

WHY RLI?

RLI's ERISA Fidelity Bond helps you comply with the law and provides coverage to plan participants against dishonesty and fraud.

- We have limits available from \$5,000 to the maximum bond amount of \$500,000 per plan.
- We offer competitive rates. Both annual and 3-year pre-paid options are available.

- We offer inflation guard which automatically provides coverage equal to the amount required by ERISA. This coverage is available for an additional premium.
- Our bonds are easy to issue — just complete the application and fax or e-mail it to us for issuance. The bonds can also be entered and issued quickly by the agent using our online system.
- The 2017 National Underwriter/PIA Independent Agent Survey named RLI as one of the top 10 highest-rated carriers by independent agents and RLI Surety as one of the best carriers for Surety Bonds.
- We are licensed in all 50 states.

Rated A+ (Superior) by AM Best
Rated A+ by Standard & Poor's
RLISURETY.COM

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DIFFERENT WORKS

9025 N. Lindbergh Dr. • Peoria, IL 61615
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APPLICATION

Applicant Information

Applicant (Provide Exact Name of Plan)

Business Address

City

State

Zip

Type of Business

Previous Surety Company

Reason for Changing Surety

Bond Information

Amount of Bond

Effective Date

Premium Payments (pre-paid): Three Years in Advance Annually

Name of Plan Sponsor

Address of Plan Sponsor

City

State

Zip

Underwriting Information

Total Assets in the Plan (Bond amount should equal 10% of plan assets)

Are all of the plan's assets invested in eligible assets? Yes No

Are any of the plan's assets invested in your business? Yes No

Agent/Broker Information

Agent/Broker Name

Code

Phone No.

Fax No.

City

State

Zip

Agent's Recommendation:

- We are not very familiar with this applicant.
- We are familiar with applicant and are aware of no adverse information about him/her.
- We know applicant very well and offer our highest recommendation.

Comments:

YOUR LOCAL RLI AGENT IS:



This brochure contains only a brief summary of coverage and bond provisions. Certain conditions and exclusions apply.

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